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**DEC 14 2004**

# Facsimile Transmittal

**To:** Examiner Peter Pappas  
Art Group 2671

**Fax:** (703) 872-9306

**From:** Patrick J.S. Inouye 

**Date:** December 14, 2004

**Re:** U.S. Patent Application  
Serial No. 09/944,475

**Pages:** 7 (including cover sheet)

**CC:**

☐ Urgent

☐ For Review

☐ Please Comment

☐ Please Reply

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**Notes: Examiner Pappas:**

Further to our phone conversation on October 15, 2004, regarding initialing the second page of the Information Disclosure Statement (IDS) filed with the USPTO on September 2, 2004, that had not been included with the Notice of Allowance, we have not yet received a Supplemental Notice of Allowance for the above-identified patent application. A copy of the IDS is enclosed for your convenience.

Nevertheless, we have paid the Issue Fee today, and note our expectations are that a Supplemental Notice of Allowance will follow in due course.

Please contact me should you require additional information or have any questions at phone number (206) 381-3900. Thank you.

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PTO/SB/21 (04-04)

Approved for use through 07/31/2006. OMB 0651-0031

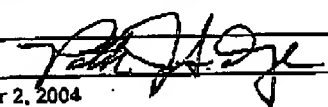
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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	09/944,475
	Filing Date	August 31, 2001
	First Named Inventor	Gallivan, Dan
	Art Unit	2173
	Examiner Name	Unassigned
Total Number of Pages in This Submission	Attorney Docket Number	013.0117.US.UTL

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance communication to Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 9 US Patents 3 Non-Patent Documents Postcard
Remarks <span style="float: right; font-size: 2em; font-weight: bold;">COPY</span>		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Law Offices of Patrick J.S. Inoue
Signature	
Date	September 2, 2004

## CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Typed or printed name	Krystyna Szul		Date
Signature		Date	September 2, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Gallivan, Dan

Serial No.: 09/944,475

Filed: August 31, 2001

Title: System And Method For Generating A  
Visualized Data Representation  
Preserving Independent Variable  
Geometric Relationships

Group Art Unit: 2173

Examiner: Unassigned

**COPY**

Attorney Docket No.: 013.0117.US.UTL

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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INFORMATION DISCLOSURE STATEMENT

Dear Examiner:

This Information Disclosure Statement is submitted:

☒ under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)

☐ under 37 CFR 1.97(c) together with either a:  
☐ Statement under 37 CFR 1.97(e), or  
☐ a \$180.00 fee under 37 CFR 1.17(p), or  
(After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)

☐ under 37 CFR 1.97(d) together with a:  
☐ Statement under 37 CFR 1.97(e), and  
☐ a \$180.00 fee set forth in 37 CFR 1.17(p).  
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

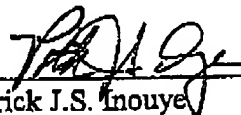
☒ Applicant(s) submit herewith Form PTO 1449-Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

The relevance of the attached references is that this is the closest art of which Applicant is aware. Applicant submits that the above references taken alone or in combination neither anticipate nor render obvious the present invention. Consideration of the foregoing in relation to this application is respectfully requested.

It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,

**Customer No. 22895**

  
\_\_\_\_\_  
Patrick J.S. Inouye  
Attorney/Agent for Applicant(s)  
Reg. No. 40297

Date: September 2, 2004

Telephone No.: (206) 381-3900

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PTO/SB/08a (08-03)

Approved for use through 07/31/2008. OMB 0651-0031

U.S. Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays this notice.				Complete if Known	
Substitute for form 1448A/PTO				Application Number	09/944,475
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				Filing Date	August 31, 2001
(Use as many sheets as necessary)				First Named Inventor	Gallivan, Dan
				Art Unit	2173
				Examiner Name	Unassigned
Sheet	1	of	2	Attorney Docket Number	013.0117.US.UTL

[illegible][illegible]

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 808. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 801.04. <sup>3</sup>Enter Office that issued the document by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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**COPY****REC'D SEP 13 2004**

U.S. Patent Application entitled: "System And Method For Generating A Visualized Data Representation  
Preserving Independent Variable Geometric Relationships"

Applicant(s): Gallivan, et al.  
Serial No.: 09/944,475  
Filing Date: August 31, 2001

Please stamp and confirm receipt in the U.S. Patent & Trademark Office of the following:

- ☒ Transmittal Form
- ☒ Information Disclosure Statement
- ☒ Form 1449/PTO (Information Disclosure Statement by Applicant)
- ☒ U.S. Patent Documents: 2 U.S. Patent Application Publication: 0
- ☒ Non Patent Literature Documents: 3
- ☒ Other: Postcard

Attorney Docket No.: 013.0117.US.UTL  
Date Mailed: September 2, 2004

Attorney: Patrick J.S. Inoue  
Reg. No. 40,297

